

# Exhibit 13

# Bright and Uniform Green Light Emitting InP/ZnSe/ZnS Quantum Dots for Wide Color Gamut Displays

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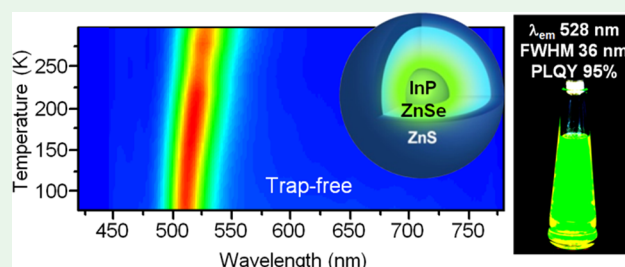
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## Supporting Information

**ABSTRACT:** There is an urgent demand to improve the efficiency and the color purity of the environment-friendly quantum dots (QDs), which can be used in wide color gamut (WCG) displays. In this study, we optimized the reaction conditions for the InP core synthesis and the ZnSe/ZnS multishell growth on the core. As a result, remarkable improvements were achieved in the photoluminescence quantum yield (PL QY, 95%) and the full width at half-maximum (fwhm, 36 nm), with perfectly matched wavelength (528 nm) for the green color in WCG displays. Injection of the phosphorus precursor at a mild temperature during the InP core synthesis reduced the size distribution of the core to 12%, and the shell growth performed at a high temperature significantly enhanced the crystallinity of the thick passivating layer. We also investigated the photophysical properties, particularly the energy trap distributions and trap state emissions of the InP-based QDs with different shell structures. The time-resolved and temperature-dependent PL spectra clearly indicated that the well-passivated InP/ZnSe/ZnS QDs showed nearly trap-free emissions over a wide temperature range (77–297 K). Also, the on- and off-time probability on single QD blinking and Auger ionization efficiencies also showed that these QDs were hardly affected by the surface traps.

**KEYWORDS:** quantum dots, indium phosphide, multishell, photoluminescence, trapping rate, blinking suppression, Auger ionization efficiency



## INTRODUCTION

Environmentally friendly InP-based QDs have risen in popularity as an alternative to Cd-based materials in wide color gamut (WCG) display applications.<sup>1–4</sup> Because the exemption from EU's Restriction of Hazardous Substances (RoHS) will expire in 2019,<sup>5</sup> it is crucial to develop high-quality InP-based QDs for commercial applications. However, several disadvantages of InP have been also reported. Because of the large Bohr radius (10.6 nm) and small band gap (1.27 eV) of InP compared with CdSe, the energy band gap of InP-based QDs changes more significantly according to their size,<sup>4,6</sup> so that their emission spectra are broader than those from CdSe QDs with a similar size distribution. Numerous studies have been performed to improve the PL QY as well as the color purity of the InP QDs. The addition of Zn carboxylates during<sup>7</sup> or after<sup>8</sup> the core growth showed a significant effect on the size distribution, the wavelength tunability, and the PL QY by surface passivation<sup>9</sup> or forming an InZnP alloy. To control the growth kinetics, less reactive P precursors,<sup>10</sup> such as tris(dimethylamino)phosphine, tris(triphenylsilyl)phosphine, or their mixture,<sup>11</sup> have been employed, but the size distribution was not yet satisfactory.

By use of the magic-sized cluster (MSC,  $\lambda_{\text{abs}} = 386$  nm) as a starting material, the size distribution was considerably reduced to 15% when the core size was  $>3$  nm.<sup>12</sup> Shell passivation with wide-band-gap materials is also critical to increase PL QY. Houbold et al. synthesized InP/ZnS core/shell QDs that showed a 23% PL QY with thin ZnS (0.5 nm) shell.<sup>13</sup> Li and co-workers reported a heating process in one pot for both core and shell growth, which produced core/shell QDs with 50–70% of PL QY.<sup>14</sup> In their report, the PL QY of the core/shell structured QDs decreased as the ZnS shell thickness increased beyond 1 nm because the ZnS shell had a large lattice mismatch (7.7%) against the InP core. To alleviate this mismatch, interlayers with an intermediate lattice constant have been adopted. Kim and co-workers introduced the GaP interlayer by cation exchange of In with Ga, and the resulting InP/GaP/ZnS QDs showed 85% of PL QY.<sup>15</sup> Lim et al. prepared a gradient alloy ZnSeS shell of 1.9 nm thickness, which resulted in the InP/ZnSeS QDs with 50% of PL QY.<sup>16</sup>

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Despite these efforts, however, the full width at half-maximum (fwhm) of the InP-based QDs remain in the range 40–60 nm. Recently, Ramasamy et al. reported a notable improvement of the fwhm of InP/ZnSe/ZnS QDs to 38 nm (71% PL QY,  $\lambda_{\text{em}} = 535$  nm).<sup>17</sup> They optimized the ratio of In/P (1.5/1) and P injection temperature (room temperature) and applied the successive ion layer adsorption and reaction (SILAR) method<sup>18</sup> for the shell coating to prepare QDs with 4.2 nm diameter.

In this study, we produced very bright and uniform green emitting InP/ZnSe/ZnS QDs by optimizing the reaction parameters for the InP core synthesis and the growth of uniform and thick ZnSe/ZnS shells. The injection temperature of the P precursor was set to 150 °C to control the reaction rate and form MSC as an intermediate, which enables uniform InP cores. To prevent facet-selective anisotropic shell growth, the shell coating was performed at high temperature (320 °C) which results in highly crystalline and well passivating layer. The resulting ZnSe and ZnS shells were grown to a thickness of 1.5 and 0.5 nm, respectively, and the average diameter of InP/ZnSe/ZnS QDs was close to 6.0 nm. The obtained InP/ZnSe/ZnS QDs showed 95% PL QY at 528 nm peak emission with fwhm of only 36 nm, which we believe to be the best luminescent properties of InP-based QDs ever reported to date. We also performed systematic comparisons in the photophysical properties of the InP/ZnSe/ZnS, InP/ZnS, and InP/ZnSe QDs through time-resolved and temperature-dependent PL measurements, blinking dynamics, and Auger ionization efficiencies. Especially, the effects of the trap on PL emission were directly compared through the decay associated spectra (DAS), which has never been performed to understand the properties of QDs before. The deep trap emission that appeared at several tens of nanometers longer than the core state emission could be defined as a surface emission. The InP/ZnSe/ZnS QDs exhibited nearly trap-free emission over a wide range of temperatures in accordance with the effective trap passivation. The fast charge detrapping rate for the blinking dynamics and the relatively lower Auger ionization efficiency also support that the well-passivated InP/ZnSe/ZnS QDs are barely affected by trap states, in comparison with InP/ZnS and InP/ZnSe QDs.

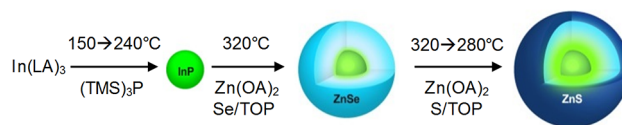
## RESULTS AND DISCUSSION

Despite many efforts, the preparation of InP QDs with uniform size and minimum defects is still challenging due to a poor understanding of the structural and photophysical properties of InP QDs. In particular, because of their small size ( $d < 3$  nm), it is more important to suppress the surface oxidation or defect generation of the green emitting InP QDs. Previous studies on the kinetics of InP QD formation<sup>4,19</sup> showed that the different reactivity between the active P and the stable In precursors was one of the causes for the size distribution. During the InP synthesis, unlike the LaMer type growth, it has been known that the initial nucleation phase completely consumes the highly reactive P precursor such as  $(\text{TMS})_3\text{P}$ , and further growth takes place through the Ostwald ripening, which results in a large size distribution. To solve this issue, we injected  $(\text{TMS})_3\text{P}$  at 150 °C in the presence of both indium laurate ( $\text{In}(\text{LA})_3$ ) and zinc oleate ( $\text{Zn}(\text{OA})_2$ ) precursors.<sup>12,20</sup> At this mild temperature the In–P–Zn ligand complexes<sup>21</sup> were first formed, and then they were converted to InP MSCs as the temperature increased to 170 °C, showing a sharp absorption peak at 370 nm. In comparison, the In–P ligand complexes

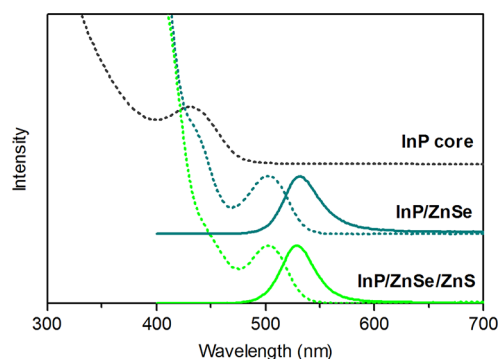
without  $\text{Zn}(\text{OA})_2$  can create InP MSCs at only 110 °C with the absorption peak at 386 nm.<sup>12</sup> Therefore, it was inferred that the In–P–Zn ligand complexes could retard the InP nucleation. As the reaction temperature increased further to 240 °C,<sup>8</sup> the In–P–Zn ligand complexes, which remained as monomers at 170 °C, decomposed to cause uniform growth of InP QDs. The synthetic scheme and absorption spectra of the InP nanostructures at each growth step are shown in Figure S1a,b. The average diameter of the InP core was controlled at 2 nm for the green emission, and the size distribution was very narrow considering the core size ( $\sigma = 12\%$ , Figure S1c). For comparison, the InP QDs by injecting  $(\text{TMS})_3\text{P}$  at 280 °C were also prepared. As shown in Figure S1d, the InP QDs synthesized by injecting at 280 °C have broader half-width at half-maximum of the 1s absorption peak (30 nm) than that synthesized by injecting 150 °C (24 nm), which shows a larger size distribution.

To maximize the surface passivation, we applied ZnSe/ZnS multilayer for the shell structure. The ZnSe interlayer was used to relieve the strain between the InP core and the ZnS shell. When the energy band gap of the small InP core is close to that of ZnSe (2.82 eV), the excitons of InP core can be delocalized over the interfaces between InP and ZnSe. Thus, both the InP core size and the ZnSe shell thickness could be optimized to control the wavelength, especially for the green emission. The ZnSe shell also has an effect on passivation the surface defect of the InP core as well as a medium level of exciton confinement. Also, since the ZnSe tends to grow more epitaxially on InP core, it is advantageous to grow thick ZnSe interlayer prior to the ZnS layer, which has a wide band gap that confines excitons more tightly. Thus, the InP/ZnSe QDs were prepared by growing a relatively thick (1.5 nm) ZnSe shell on the InP core with a diameter of 2.0 nm, which resulted in the red-shift of the emission spectra from 477 to 532 nm. Next, a ZnS shell 0.5 nm in thickness was grown over the InP/ZnSe QDs to form the InP/ZnSe/ZnS QDs shown in Scheme 1. We calculated the thickness and composition of each shell

**Scheme 1. Synthesis of InP Core QDs and ZnSe/ZnS Shell Coating**



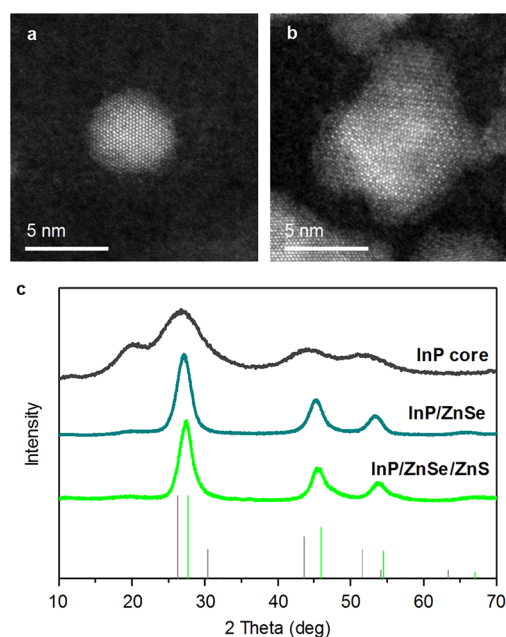
layer based on the elemental compositions (by ICP-AES) and theoretical calculation<sup>22</sup> assuming perfect spherical QDs and uniform shell growth (Table S1). Therefore, all values are reported as the average for the collective structures and can be different from the size measured by scanning transmission electron microscopy (STEM). However, those are still helpful to follow the progress of general particle evolution. The absorption and emission spectra of the InP core and InP/ZnSe and InP/ZnSe/ZnS QDs are depicted in Figure 1. The absorbance increase below 450 nm indicates the degree of ZnSe and ZnS shell growth. A decrease in the emission tail, observed at slightly longer wavelengths to the peak, implies the removal of the trap states. The resulting InP/ZnSe/ZnS QDs showed absolute PL QY of  $95.4 \pm 2.1\%$  and spectral bandwidth of 36 nm at the 528 nm emission peak, which are the highest PL QY and narrowest fwhm ever reported for green emitting InP-based QDs. The absolute PL QY of QDs was



**Figure 1.** Absorption (dotted lines) and emission (solid lines) spectra of InP core (black), InP/ZnSe (dark cyan), and InP/ZnSe/ZnS QDs (green).

measured using a quantum efficiency measurement system with an integrating sphere by 10 times repetition. To cover the color space of Digital Cinema Index (DCI) or National Television System Committee (NTSC) standard, a narrow fwhm of the green spectrum is crucial. Our green InP/ZnSe/ZnS QDs can achieve 100% of color reproducibility to DCI-P3 standard due to simultaneous improvement of fwhm and PL QY at perfectly matched emission wavelength. The LED display utilizing QDs as color converting materials has an advantage covering wider color space than any other displays, such as the organic light emitting diode and the LED with narrow band phosphors or yellow absorbing dyes. Because the high quality red emitting QDs are also significantly important for wide color gamut, our group have been working to improve the fwhm of red InP QDs, previously known as about 45 nm.<sup>4,17</sup>

Although the shell growth at high temperature has benefits for high crystallinity and uniform shell growth by overcoming the thermodynamic facet preferences, most of previous studies had performed shell growth below 300 °C to prevent heterogeneous nucleation.<sup>4</sup> However, we could increase the shell growth temperature up to 320 °C, which is slightly lower than boiling temperature of the solvent, trioctylamine, by simultaneously controlling the injection steps and speeds of the Se and S precursors. As shown in Figure S2, no small particle could be produced by the heterogeneous nucleation. The accelerated shell growth at the high temperature significantly improved the crystallinity and uniformity of the core/shell QDs. The high-resolution scanning transmission electron microscopy (HR-STEM) images of InP/ZnSe and InP/ZnSe/ZnS QDs in Figure 2a,b show highly crystalline nanocrystals. The STEM energy-dispersive X-ray spectroscopy (EDS) mapping images of the InP/ZnSe/ZnS QDs also show InP core well passivated with ZnSe/ZnS multishell (Figure S3). The nanoparticle in the high-angle angular dark-field (HAADF) image is well overlaid with patterns of each atom: In, P, Zn, Se, and S. The In atoms are localized around the center of the particle, whereas the Zn atoms are delocalized over the particle. The Se and S atoms compose inner and outer shell, respectively. The P atoms distributing throughout the particles rather than inside core is caused by the trioctylphosphine used for chalcogen precursors. The X-ray diffraction (XRD) patterns (Figure 2c) for the InP/ZnSe/ZnS QDs during the shell growth also show evidently the epitaxial growth of ZnSe and ZnSe/ZnS shell on zinc-blende InP core by the peak shifting to higher angle and narrowing without

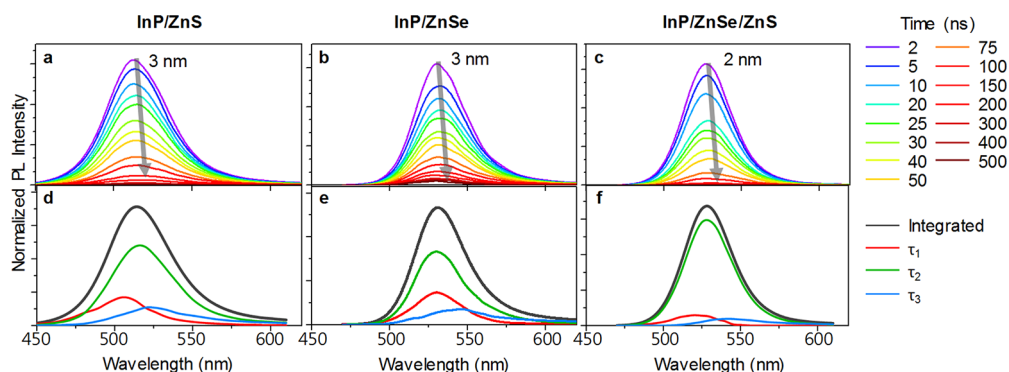


**Figure 2.** HR-STEM images of InP/ZnSe QD (a) and InP/ZnSe/ZnS QD (b). (c) Powder XRD patterns of InP core (black), InP/ZnSe (dark cyan), and InP/ZnSe/ZnS (green) QDs. The vertical bars represent the diffraction patterns for bulk zinc-blende InP (gray) and ZnS (green).

changing on the patterns. The peaks shifting were occurred by coating shell materials which have smaller lattice constant on the InP core. The peaks narrowing, which is related to growth of the crystal size, also could be an evidence for epitaxial growth on InP core while the crystalline structure was maintained as zinc-blende.

To study the effects of the passivating shell materials as well as the structures on the photophysical properties, InP/ZnS QDs were also prepared by growing ZnS shell with 1.0 nm in thickness on the same InP core as a model system. The absorption and emission spectra, HR-STEM images, and XRD patterns are shown in Figure S4. The absorption and PL spectra of the InP/ZnS QDs ( $\lambda_{em} = 505$  nm, fwhm 50 nm, PL QY 46%) showed a smaller red shift after shell coating and slightly higher efficiency than the InP/ZnSe QDs ( $\lambda_{em} = 532$  nm, fwhm 39 nm, PL QY 34%) because of the better exciton confinement effect of the ZnS shell. The large lattice mismatch between InP and ZnS induces inhomogeneous broadening of surface trap levels,<sup>23</sup> which results in broader fwhm of InP/ZnS than that of InP/ZnSe.

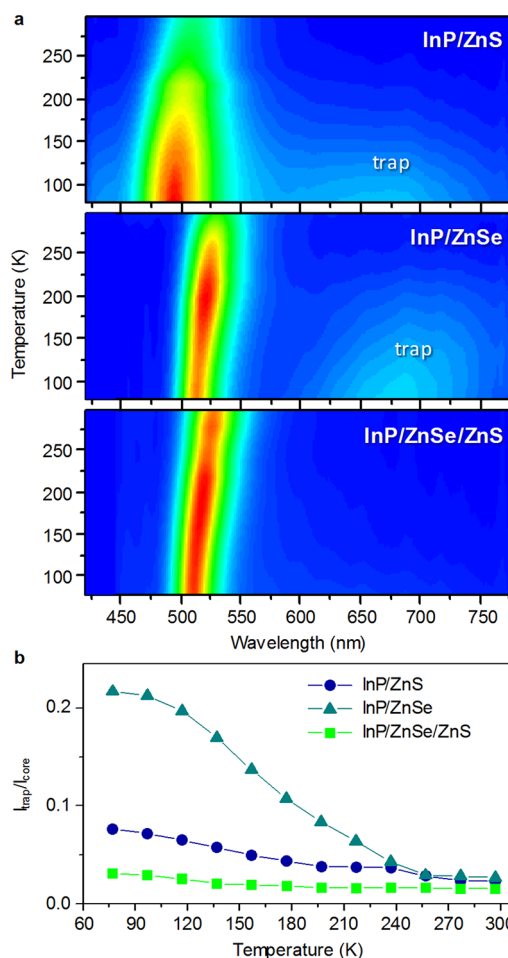
When the emission spectra of InP/ZnSe, InP/ZnS, and InP/ZnSe/ZnS are fitted with Gaussian functions at the front line, we observed a tail on the lower energy side of each spectrum (Figure S5). Supposedly, tails at longer wavelengths are due to the trap states. To identify the influence of defects on the QD decay dynamics, we measured PL lifetimes using the spectrally resolved time-correlated single-photon counting (TCSPC) technique across the whole QD emission spectra. In Figure S6, the PL decay profiles, dependent on the probe wavelength, were measured at 10 nm spectral intervals for all QDs. The PL decay curves were fitted with triexponential functions: a fast component ( $\tau_1 = 3\text{--}4$  ns) attributed to the intrinsic charge transfer processes between the neutral (core) and surface trap (charged) states, an intermediate component ( $\tau_2 = 30\text{--}40$  ns) due to the intrinsic recombination of initially populated neutral



**Figure 3.** Time-resolved emission spectra (a–c) and their corresponding decay associated spectra (d–f) of InP/ZnS (a, d), InP/ZnSe (b, e), and InP/ZnSe/ZnS (c, f) QDs in toluene obtained by excitation at 430 nm.

states, and a long-lived component ( $\tau_3 > 100$  ns) from the trap state emissions.<sup>24–29</sup> On the basis of the PL decay curves, which were analyzed by global fitting to obtain the kinetic time constants and respective amplitudes, we reconstructed the time-resolved PL spectra (TRPS) and the corresponding DAS that are shown in Figure 3. In the TRPS (Figure 3a–c), the red-shifted peak maximum represents the emission at longer wavelengths in the PL spectra, which is attributable to the long-lived component. This assignment is further supported by the different relative peak amplitudes of the  $\tau_1$ ,  $\tau_2$ , and  $\tau_3$  components in the DAS (Figure 3d–f). We found that the contribution of the trap states to the total PL spectra of InP/ZnSe/ZnS was only 13%, while those of InP/ZnS and InP/ZnSe were 37% and 41%, respectively. The relative contributions from the  $\tau_2$  component in the total PL spectra corresponded with their respective PL QYs because the  $\tau_2$  component originates from the radiative recombination process of excitons. Consequently, our findings provide evidence that effective passivation occurred for the InP/ZnSe/ZnS QDs. Moreover, these spectrally resolved PL decay curves clearly prove that the long-wavelength tails in the steady-state PL spectra are closely associated with the trap state emission. Because previous studies confirmed that surface traps affect the deep traps as well as shallow traps,<sup>24,30,31</sup> we expected to observe the same tendency for the deep trap state emission. In this study, we defined the deep trap state emission as surface emission that appeared at several tens of nanometers longer than the core state emission, rather than a trap within the band gap.

To understand the deep trap state emission mechanism, which depends on the shell passivation of the QDs, we analyzed the steady-state PL spectra over a wide temperature range (77–297 K, Figure 4 and Figure S7). The temperature dependence of the thermal population is an important factor that causes charge transfers between the neutral and trap states.<sup>24</sup> All core/shell QDs displayed no low-energy trap emission at room temperature, in contrast with the InP cores that showed strong trap state emission at longer wavelengths. Notably, for the InP/ZnSe/ZnS QDs, we observed no trap state emission over a wide range of temperatures, which suggests that the surface passivation by the ZnSe/ZnS multishell encapsulation of QDs successfully reduced defects, leading to enhanced PL QY. Both the InP/ZnSe and InP/ZnS QDs showed increased low-energy trap emission as the temperature decreased. These properties are quite similar to the CdSe-based QDs.<sup>30–33</sup> For comparison, we plotted the relative intensities of the two emission bands in Figure 4b,

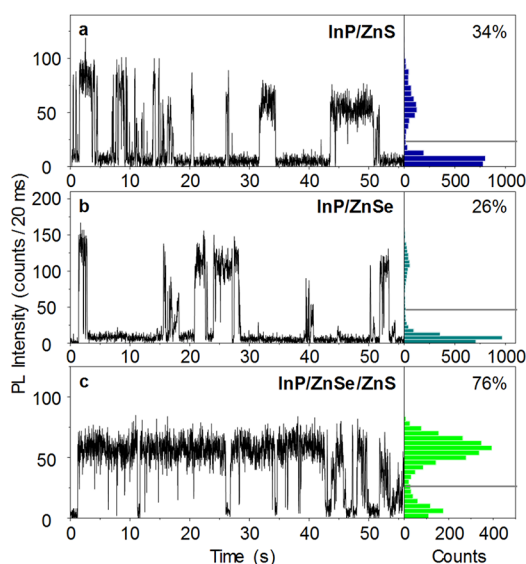


**Figure 4.** (a) Two-dimensional PL spectra (wavelength vs. temperature) of the InP/ZnS, InP/ZnSe, and InP/ZnSe/ZnS QDs from 77 to 297 K. The core PL appears at higher energies, whereas trap emission is broader and red-shifted. (b) Temperature dependence of the relative intensities of two-band emission for the InP/ZnS (blue circles), InP/ZnSe (dark cyan triangles), and InP/ZnSe/ZnS QDs (green squares).

which clearly demonstrated that the relative trap emission intensities were highly dependent on the temperature. The relatively more prominent deep trap emission of InP/ZnSe QDs is thought to be a consequence of the surface defects. The ZnSe surfaces are prone to oxidation, and as a result the formation of selenium oxide phases was indicated by X-ray

photoelectron spectroscopy (XPS). On the other hand, ZnS-terminated QDs showed almost no surface oxide as shown in Figure S8. As the temperature increased, the PL spectra became red-shifted and broader for all QDs in accordance with previous studies<sup>34–36</sup> (Figure S9). When the temperature increases, the atoms vibrate more strongly, increasing the interatomic spacing. The interactions between the lattice phonons and free carriers (electrons and holes) also affect the band gap to a smaller extent.<sup>34,37,38</sup> In other words, a small free energy difference along the classical bath coordinate promotes temperature-dependent surface PL, whereas large coupling between quantum (phonon) modes induces broadening and red shifts.<sup>31</sup> This is schematically presented in Figure S10.

Furthermore, we evaluated the energetic trap densities through the blinking dynamics by comparing the blinking behaviors with the trapping/detrapping rates of each QD using single-dot confocal PL microscopy. Investigating the charge trapping process has been one of the most popular methods to explore the effects of trap states on the QDs. Charge trapping dynamics prevents radiative recombination and is therefore a limiting factor for practical commercialization.<sup>39–42</sup> Thus, it is essential to understand charge trapping dynamics to produce QDs with superior optical properties. Charge trapping impedes continuous PL emission, and it is noted as blinking or PL intermittency in single-dot PL measurements.<sup>42</sup> Typical PL trajectories and intensity histograms are shown in Figure 5 by



**Figure 5.** Representative blinking dynamics for individual QDs: InP/ZnS, InP/ZnSe, and InP/ZnSe/ZnS. Each row presents the PL intensity trace (left) and the intensity histogram (right) of a single QD. The horizontal gray line is the PL intensity threshold, and the numerical value at the top right of each histogram indicates the on-time fraction in percentage. The PL intensity of the off-state was the same as the background. The PL intensity was measured by integrating over 5000 excitation pulses (20 ms).

counting the number of photons emitted in 20 ms time intervals. The emissions from all isolated dots present a random pattern of switching between bright (“on”) and dark (“off”) states. The intensity histograms showed a bimodal distribution for all samples. Compared to the previous reports,<sup>29,43</sup> all our samples showed stable on-intensity with very little fluctuation. Also, since the on- and off-states were clearly distinguished from each other, the dynamics can be

reliably described by the two states. First, the InP/ZnSe/ZnS QDs exhibited significantly longer on-time compared with other core/shell QDs: the on-time fractions of InP/ZnS, InP/ZnSe, and InP/ZnSe/ZnS were 34%, 26%, and 76%, respectively, which corresponded to their respective PL QYs. The on-time fractions are not directly matched with the PL QY due to the difference in the measurement conditions, a liquid state dissolved in toluene for PL QY, and a solid state embedded in polystyrene. In these three QDs, effective passivation by shell encapsulation is one possible cause for the distinctive blinking behaviors due to their similar PL QY tendencies. The overall QD blinking behaviors, including the trapping/detrapping rates, reflect the differences in the trap densities and energetic distributions.

Generally, the blinking behaviors can be explained by a trap-related mechanism.<sup>42,44–50</sup> Especially in the charging model, charge carrier photoionization to the trap states generates a charged QD which remains in a nonfluorescent state due to fast nonradiative energy transfer such as the Auger recombination.<sup>44–47</sup> To compare the trapping/detrapping rate constants, the probability density distributions of the on- and off-times, expressed as  $P(\alpha_{\text{on}})$  and  $P(\alpha_{\text{off}})$ , were generated for QDs with different structures, as shown in Figures S11a and 11b, respectively. To capture the representative features and eliminate the artifacts induced by a particular QD, each plot was generated with at least 100 single QDs. More details about the probability density plots can be found in Figure S11. The probability was weighted with the average time for the nearest-neighboring event and was plotted on a log–log scale. The power law distributions of the on- and off-time probability densities demonstrate that the charge transfer rates are widely distributed between the neutral and charged states. These behaviors are a consequence of energetic diffusion in the trap states, i.e., change in the density and position of charges trapped in the QDs.<sup>42,45</sup> The trapping ( $\alpha_{\text{on}}$ ) and detrapping rates ( $\alpha_{\text{off}}$ ) of both InP/ZnSe and InP/ZnS QDs were higher than those of the InP/ZnSe/ZnS QDs, suggesting that the imperfect passivation of single-shelled QDs leads to larger fluctuations between the on and off states. This also renders the trap state energies more sensitive to changes in the external environment.<sup>42,45</sup> Besides, a larger coefficient of the probability densities indicates faster charge trapping or detrapping processes if compared within the same time window.<sup>50</sup> Therefore, large  $\alpha_{\text{on}}$  and  $\alpha_{\text{off}}$  values represent rapid charge trapping and detrapping processes, respectively. Notably, the  $\alpha_{\text{on}}$  values were smaller than the  $\alpha_{\text{off}}$  values for all three samples. Schematics for the energetics between the charged and neutral states with regard to their relative transition rates are shown in Figure S11c. These processes are based on the activation energy barriers ( $\Delta E_1$  and  $\Delta E_2$ ) between the neutral and charged QD parabolic potential curves. The energetic positions of the neutral and charged states depend on the  $\alpha_{\text{on}}$  and  $\alpha_{\text{off}}$  values, and these two states are located at the same energy level when  $\alpha_{\text{on}} = \alpha_{\text{off}}$ . When  $\alpha_{\text{off}} > \alpha_{\text{on}}$ , the energy level of the charged QD is higher than that of the neutral state because a larger  $\alpha_{\text{off}}$  value indicates that the detrapping process is faster than the trapping process. In addition, the fact that the InP/ZnSe/ZnS QD exhibited a larger difference between the  $\alpha_{\text{on}}$  and  $\alpha_{\text{off}}$  (0.17) compared with InP/ZnS (0.10) and InP/ZnSe (0.08) suggested that the charged states in InP/ZnSe/ZnS QDs were located at much higher energy levels. Consequently, the InP/ZnSe/ZnS QDs are less affected by

the trap states compared with the other single-shelled QDs, which explains their exceptionally high PL QYs.

The Auger ionization efficiencies for all QDs are consistent with the charge transfer process schematically described in Figure S11c. The Auger ionization efficiency is significantly affected by the trapping probability for QD. The trapping probability depends on the trap densities at higher energy states, since the annihilation energy of exciton contributes to the ejection of other charge carriers into adjacent trapping positions. With regard to this, the differences in blinking rates are described by trap densities that are at least  $1E_g$  (which is as high as the band gap energy) above the edge of the lowest conduction band. Previous studies observed that the exponential truncation ( $\tau_{\text{falloff}}$ ) in the on-time probability plots originated from the Auger ionization processes.<sup>25,46,51</sup> As shown in Table 1, we calculated the probabilities of creating a

**Table 1. Calculated Probabilities of Creating  $n$  Excitons in Both Single Pulse (with  $n = 1$  (SX) and 2 (BX)) and Multiexciton (MX,  $n \geq 2$ ) as Well as the Auger Ionization Efficiencies**

	$\tau_{\text{falloff}}$ (s)	$P_{\text{SX}}$ ( $\times 10^{-2}$ )	$P_{\text{BX}}$ ( $\times 10^{-4}$ )	$P_{\text{MX}}^a$ ( $\times 10^{-4}$ )	$P_{\text{ionize}}$ ( $\times 10^{-4}$ )	$P_{\text{ionize}}/P_{\text{MX}}$
InP/ZnS	6.9	1.5	1.1	1.1	13.7	12.8
InP/ZnSe	2.2	2.0	2.1	2.1	21.4	10.2
InP/ZnSe/ ZnS	7.3	1.9	1.9	1.9	7.2	3.8

<sup>a</sup> $P_{\text{MX}}$  is the probability of forming a multiexciton state (MX) under the given excitation conditions. Simple Poisson statistics was used to demonstrate that the formation of biexciton or higher excitonic species is a highly probable event on the millisecond time scale. Most of the parameters were calculated based on ref 52.

total of  $n$  excitons ( $n = 1$  and 2) and multiexcitons (MX,  $n \geq 2$ ) in a single pulse and the associated Auger ionization efficiencies as described by Peterson et al.<sup>52</sup> The Auger ionization probabilities ( $P_{\text{ionize}}$ ) of InP/ZnSe and InP/ZnS QDs are larger than that of InP/ZnSe/ZnS. The same trend was observed for the Auger ionization efficiency ( $P_{\text{ionize}}/P_{\text{MX}}$ ), suggesting that the InP/ZnSe/ZnS QDs have lower trap densities than the core/shell QDs at higher energy states.

The photostability of the InP/ZnSe/ZnS QDs dissolved in toluene (4  $\mu\text{M}$ ) were also examined by continuously irradiating at a power of 30  $\mu\text{W}/\text{cm}^2$  and wavelength of 458 nm under ambient conditions for 60 h. The relative PL intensities do not show any change larger than 2%; in addition, no spectral shift was observed as shown in Figure S12. The shelf lifetime under nitrogen conditions is much longer than a year, which is confirmed by no absolute PL QY changes one year after synthesizing the QDs.

## CONCLUSION

We have reduced the size distribution of InP cores by regulating the reactivity of In and P precursors. Moreover, the highly crystalline and well-passivated ZnSe/ZnS shell coating performed at high temperature (320  $^{\circ}\text{C}$ ) remarkably enhanced the PL QY of QDs. The resulting InP/ZnSe/ZnS QDs showed the highest quantum efficiency (95%) and the narrowest emission width (36 nm) for green emitting InP-based QDs ever reported. To study the photophysical properties that depend on the shell compositions and structures, InP/ZnSe and InP/ZnS QDs were also prepared using the same InP cores. A comparison using TRPS and DAS analyses clearly

indicated that the long-wavelength tail emission from the surface trap state was nearly eliminated in the InP/ZnSe/ZnS QDs, while the InP/ZnSe and the InP/ZnS QDs showed relatively higher surface trap emissions. Furthermore, InP/ZnSe/ZnS QDs showed no shallow or deep trap emission over a wide temperature range (77–297 K), indicating that the ZnSe/ZnS multishell structure successfully passivated defect structures. From the single QD blinking dynamics, the larger difference between  $\alpha_{\text{off}}$  and  $\alpha_{\text{on}}$  values (0.17) and the lower Auger ionization efficiencies (3.8%) of InP/ZnSe/ZnS QDs also suggested that the charged states of these QDs are located at much higher energy levels, which are scarcely affected by the trap state. These photophysical studies evidently supported the superior optical properties of these well-passivated InP/ZnSe/ZnS QDs.

## EXPERIMENTAL METHODS

**Materials.** Indium acetate ( $\text{In}(\text{OAc})_3$ , 99.99%), lauric acid (LA, 98%), zinc acetate (99.99%), oleic acid (OA, 90%), sulfur (99.98%), selenium (99.99%), 1-octadecene (ODE, 90%), trioctylamine (TOA, 95%), and toluene (anhydrous 99.8%) were purchased from Sigma-Aldrich. Tris(trimethylsilyl)phosphine ( $\text{TMS}_3\text{P}$ , 98%) and trioctylphosphine (TOP, 90%) were purchased from Strem. Acetone (HPLC grade) was purchased from Samchun. All chemicals were used as purchased.

**Synthesis of InP Core.** InP core was prepared by quickly injecting a phosphine precursor to a solution containing indium and zinc precursors. Briefly, zinc acetate (1.2 mmol) and OA (2.4 mmol) were mixed in 10 mL of ODE. The mixture was evacuated at 120  $^{\circ}\text{C}$  for 1 h, then refilled with  $\text{N}_2$ , and cooled to RT. After addition of indium acetate (0.6 mmol) and LA (1.8 mmol), the reaction mixture was evacuated again at 120  $^{\circ}\text{C}$  for 1 h, refilled with  $\text{N}_2$ , and heated to 150  $^{\circ}\text{C}$ .  $\text{TMS}_3\text{P}$  (0.4 mmol) dissolved in TOP (1 mL) was quickly injected into the reaction mixture, which was then heated to 240  $^{\circ}\text{C}$  for successive growth. The reaction was monitored by measuring the absorption spectrum of the aliquot and quenched by rapid cooling below 200  $^{\circ}\text{C}$ . The resulting InP core was precipitated and washed with acetone.

**Preparation of InP/ZnSe/ZnS Core/Shell/Shell Quantum Dots (QDs).** A ZnSe shell was grown over InP core by reacting  $\text{Zn}(\text{OA})_2$  and Se/TOP. The  $\text{Zn}(\text{OA})_2$  solution was prepared by dissolving zinc acetate (2.4 mmol) and oleic acid (4.8 mmol) in 10 mL of TOA at room temperature, degassing at 120  $^{\circ}\text{C}$  under vacuum, and refilling with  $\text{N}_2$  gas. The reaction mixture was heated to 180  $^{\circ}\text{C}$ . The InP core dissolved in toluene was injected into the solution. Then Se/TOP (0.4 M, up to a total amount of 1.8 mL) was added stepwise by raising the temperature to 320  $^{\circ}\text{C}$ . The shell growth was done by reacting at 320  $^{\circ}\text{C}$  for 1 h. Successively, the ZnS shell was grown in the same reaction flask. A S/TOP solution (1.0 M, 0.8 mL) was injected to the above reaction solution at 320  $^{\circ}\text{C}$  and kept at that temperature for 40 min. After cooling to 280  $^{\circ}\text{C}$ , another 1.7 mL of 1.0 M S/TOP was injected and maintained for 1 h.

**Preparation of InP/ZnS Core/Shell QDs.** The ZnS shell was grown over InP core using a similar method as described above. The  $\text{Zn}(\text{OA})_2$  solution was prepared in the same way, but with different amounts of zinc acetate (2.0 mmol) and OA (4.0 mmol). At 220  $^{\circ}\text{C}$ , InP core dissolved in toluene and 2 mL of 1.0 M S/TOP were injected to the  $\text{Zn}(\text{OA})_2$  solution. The shell growth was done by reacting at 280  $^{\circ}\text{C}$  for 1 h.

**Preparation of InP/ZnSe Core/Shell QDs.** The InP/ZnSe QDs were prepared by quenching the InP/ZnSe/ZnS reaction before S/TOP injection. All core/shell QDs were purified before characterization to remove the reaction solvent and excess precursors.

**Ensemble Spectroscopy.** Steady-state absorption spectra were recorded by using a UV/vis spectrometer (Cary 5000, Varian). Steady-state photoluminescence (PL) of QDs in toluene was measured by using a fluorescence spectrophotometer (F7000, Hitachi). The absolute PL QY were measured using a quantum

efficiency measurement system with integrated sphere (QE2100, Otsuka) under 10 times repetition. For temperature-dependent measurement, a temperature-controlled liquid nitrogen cryostat (Oxford Instruments, Optistat DN) was used. Temperatures were maintained to within  $\pm 0.05$  K, and the system was allowed to equilibrate for 30 min before measurement.

**Structural Analysis.** High-resolution scanning transmission electron microscopy (HR-STEM) images were obtained with a Titan ChemiSTEM system operated at 200 keV. Inductively coupled plasma atomic emission spectroscopy (ICP-AES) analysis was performed with a Shimadzu ICPS-8100 sequential spectrometer. X-ray diffraction (XRD) patterns were taken with a Bruker D8 Advance instrument using a Cu K $\alpha$  X-ray source ( $\lambda = 1.5418$  Å). X-ray photoelectron spectroscopy (XPS) analysis was performed using a Quantera II of PHI Ulvac with 45° of takeoff angle.

**Time-Resolved PL Measurements.** A time-correlated single-photon-counting (TCSPC) system was used for measuring the spontaneous fluorescence decay. The excitation light source was a mode-locked Ti:sapphire laser (Spectra-Physics, MaiTai BB) which provides ultrashort pulse (center wavelength of 800 nm with 80 fs at full width at half-maximum, fwhm) with high repetition rate (80 MHz). This high repetition rate was reduced to 800 kHz by using homemade pulse picker. The pulse-picked output was frequency doubled by a 1 mm thick BBO crystal (type I,  $\theta = 29.2^\circ$ , EKSMA). The fluorescence was collected by a microchannel plate photomultiplier (MCP-PMT, Hamamatsu, R3809U-51) with a thermoelectric cooler (Hamamatsu, C4878) connected to a TCSPC board (Becker; Hickel SPC-130). The overall instrumental response function was about 25 ps (fwhm). A vertically polarized pump pulse by a Glan-laser polarizer was irradiated upon samples, and a sheet polarizer set at an angle complementary to the magic angle ( $54.7^\circ$ ) was placed in the fluorescence collection path to obtain polarization-independent fluorescence decays.

**Single-Molecule Confocal Microscopy.** Samples were prepared by spin-coating QD solutions on rigorously cleaned quartz coverslips at 2000 rpm for 60 s. The QD solutions were prepared with chloroform containing 20 mg mL<sup>-1</sup> polystyrene (Aldrich, average MW = 44000). The confocal microscope (TE2000-U, Nikon) was equipped with a sample scanning stage at RT. To excite the samples, a circularly polarized light from a picosecond pulsed diode laser (LDH-D-C-450, Picoquant, 1 MHz repetition rate, prepared using a Berek compensator (S540, New Focus)) was passed through a laser line filter (FF01-450/10-25, Semrock) and collimating lens, and then it was focused on the sample via an oil immersion objective (Plan Fluor, 1.3 NA, 100X, Nikon) with a power density corresponding to an average number of excitons per pulse  $\langle N_x \rangle = 0.1$ . Fluorescent signals were passed through a dichroic mirror (Z458rdc, Chroma Technology), spectrally filtered using a notch filter (HNPF-450.0-1.0, Kaiser Optical Systems) and a band-pass filter (LP02-473RU-25, Semrock), and then split by using a nonpolarizing 50:50 beam splitter. Half of the fluorescence was dispersed via a spectrograph (SpectraPro 2150i, Princeton Instruments) and projected onto an EMCCD camera (PL PROEM:512B EMCCD, Princeton Instruments). The other half was detected by an avalanche photodiode (APD) module (SPCM-AQR-16-FC, EG&G). The fluorescent signal detected by the APD was registered by a TCSPC unit (SPC 830, Becker; Hickl). The TCSPC was operated in first-in-first-out regime, in which the arrival time after the beginning of acquisition and the time lag with respect to the excitation pulse were stored for each detected photon. The fwhm of the overall instrumental response function was approximately 500–600 ps. The data were processed by using a BIFL data analyzer software (Scientific Software Technologies Center) to obtain fluorescence intensity trajectories and the time-resolved fluorescence decays.

**Probability Density Plots and Auger Ionization Efficiencies.** Probability distributions for the on- and off-times were calculated for 100 individual QDs to exclude any artifacts introduced by unexpected events. According to previously reported probability density plots, the probability densities for off-time events consistently followed a power law distribution, whereas those for on-time showed “bending”

structures for long on-time durations.<sup>42,52,53</sup> In our study, the off-time (blinking recovery) kinetics were not governed by a single rate constant for all samples because the probability densities were distributed over a wide range of durations. Indeed, the blinking recovery kinetics were fitted to a power law equation,  $P(\tau_{\text{off}}) \propto \tau_{\text{off}}^{-\alpha_{\text{off}}}$ . Conversely, the on-time kinetics followed a truncated power law behavior,  $P(\tau_{\text{on}}) \propto \tau_{\text{on}}^{-\alpha_{\text{on}}} e^{-\tau_{\text{on}}/\tau_{\text{falloff}}}$ , which shows a “bent” curvature for long  $\tau_{\text{on}}$ , as previously reported by Wang et al. In log–log plots, the power law coefficient is the slope of the linear fit, and the falloff time ( $\tau_{\text{falloff}}$ ) indicates the start of exponential truncation.<sup>50,53,54</sup>

Note that the probability  $P$  of creating multiple excitons within a given bin time should be considered to understand the on-time kinetics using Auger ionization processes. Thus, the probabilities of creating  $n$  excitons in a single pulse (with  $n = 1, 2$ ) and multiexciton ( $\text{MX}$ ,  $n \geq 2$ ) were calculated in the same manner (eqs 1 and 2) according to the work by Peterson et al.<sup>25,52,55–57</sup>

$$P_{\langle N_x \rangle}(n) = e^{-\langle N_x \rangle} \langle N_x \rangle^n / n! \quad (1)$$

for the probability of the average number of created exciton on  $\langle N_x \rangle$  with  $n$  as an integer and

$$P_{\text{MX}} = 1 - e^{-\langle N_x \rangle} - \langle N_x \rangle e^{-\langle N_x \rangle} \quad (2)$$

for the probability of the multiexciton on  $\langle N_x \rangle$ ,  $P_{\text{MX}}$ .

The average number of generated excitons  $\langle N_x \rangle$  was calculated by multiplying the absorption cross section ( $\sigma$ , cm<sup>2</sup>) at the excitation wavelength and the intensity of laser ( $j$ , photons/cm<sup>2</sup>·pulse):  $\langle N_x \rangle = j\sigma$ . In our previous study, the Auger ionization efficiency influences the falloff time of on-time distribution, since  $P_{\text{falloff}}$  is the probability of forming the multiexciton state ( $P_{\text{MX}}$ ) and the ionization probability ( $P_{\text{ionize}}$ ) as shown in eq 3.

$$P_{\text{falloff}} = \frac{\Delta T_{\text{rep}}}{\tau_{\text{falloff}}} = P_{\text{MX}} P_{\text{ionize}} \quad (3)$$

where  $P_{\text{ionize}} = P_{\text{falloff}}/P_{\text{MX}}$  (Auger ionization probability,  $P_{\text{ionize}}$ ) and  $\Delta T_{\text{rep}}$  is the repetition rate of the pulsed laser.

## ■ ASSOCIATED CONTENT

### ● Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acsanm.8b02063.

Elemental compositions of QDs, STEM images of QDs, HR-STEM image and XRD patterns of InP/ZnS QDs, steady-state emission spectra, wavelength-dependent time-resolved PL decay curves, temperature-dependent PL spectra, high-resolution XPS spectra, schematics of core and surface emission for the QDs, and on- and off-time probability density plots (PDF)

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### Author Contributions

The synthesis of quantum dots and structural analysis were performed by Y.K., H.J., and J.H.M. The HR-STEM images were obtained by J.L. The photophysical properties of

quantum dots were studied by S.H. and H.C. The research was designed, planned, and coordinated by D.K. and E.J. This article was written in consultation with all authors. Y.K. and S.H. contributed equally to this work.

## Notes

The authors declare no competing financial interest.

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